Finite State Automata

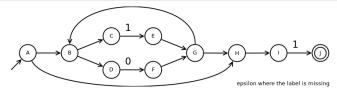
NFA to DFA

NFA 2 DFA

Given an NFA accepting a language ${\mathscr L}$ there exists a DFA accepting the same language

- The derivation of a DFA from an NFA is based on the concept of *ε*-*closure*
- The subset construction algorithm makes the transformation using the following operations:
 - ϵ -closure(s) with $s \in S$
 - ϵ -closure(\mathcal{T}) = $\bigcup_{s \in \mathcal{T}} \epsilon$ -closure(s) where $\mathcal{T} \subseteq S$
 - $move(\mathcal{T}, a)$ with $\mathcal{T} \subseteq \mathcal{S}$ and $a \in \Sigma$

NFA to DFA



- build the ϵ -closure(...) for different states/sets
- build move(T, a) for different sets and elements

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NFA to DFA

Subset Construction Algorithm

The Subset Construction algorithm permits to derive a DFA $\langle S, \Sigma, \delta_D, s_0, \mathcal{F}_D \rangle$ from an NFA $\langle \mathcal{N}, \Sigma, \delta_N, n_0, \mathcal{F}_N \rangle$

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s_0 \leftarrow \epsilon-closure(\{n_0\}); S \leftarrow \{s_0\}; \mathcal{F}_D \leftarrow \emptyset; worklist \leftarrow \{s_0\};
if (s_0 \cap \mathcal{F}_N \neq \emptyset) then \mathcal{F}_D \leftarrow \mathcal{F}_D \cup s_0;
end if
while (worklist \neq \emptyset) do
     take and remove q from worklist;
     for all (c \in \Sigma) do
            t \leftarrow \epsilon-closure(move(q, c));
           \delta_D[q, c] \leftarrow t;
           if (t \notin S) then
                 \mathcal{S} \leftarrow \mathcal{S} \cup t; worklist \leftarrow worklist \cup t;
           end if
           if (t \cap \mathcal{F}_N \neq \emptyset) then \mathcal{F}_D \leftarrow \mathcal{F}_D \cup t;
           end if
     end for
end while
```

(Formal Languages and Compilers)

Simulating DFA and NFA

DFA

 $s = s_0;$ c = nextChar();while (c \neq eof) do s = move(s, c); c = nextChar();end while if (s \in \mathcal{F}) then return "yes"; else return "no"; end if

NFA

$$\begin{split} S &= \epsilon \text{-closure}(s_0);\\ c &= nextChar();\\ \text{while } (c \neq \text{eof) do}\\ S &= \epsilon \text{-closure}(move(S,c));\\ c &= nextChar();\\ \text{end while}\\ \text{if } (S \cap \mathcal{F} \neq \varnothing) \text{ then return "yes";}\\ \text{else return "no";}\\ \text{end if} \end{split}$$

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Exercises NFA to DFA

- Derive an NFA for the regexp: $(a|b)^*abb$
- NFA to DFA for the obtained NFA

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DFA to Minimal DFA

Note

Reducing the size of the automaton does not reduce the number of moves needed to recognise a string, nevertheless it reduces the size of the transition table that could more easily fit the size of a cache

Equivalent states

Two states of a DFA are equivalent if they produce the same "behaviour" on any input string.

Let $\mathcal{D} = \langle S, \Sigma, \delta, q_0, \mathcal{F} \rangle$ be a DFA. Two states s_i and s_j of \mathcal{D} are considered equivalent, written $s_i \equiv s_j$, iff

$$\forall \mathbf{x} \in \Sigma^*. (s_i \xrightarrow{\mathbf{x}} s'_i \land s'_i \in \mathcal{F}) \iff (s_j \xrightarrow{\mathbf{x}} s'_j \land s'_j \in \mathcal{F})$$

DFA to Minimal DFA – Partition Refinement Algorithm

Deriving a minimal DFA

Transform a DFA $\langle S, \Sigma, \delta_D, s_0, \mathcal{F}_D \rangle$ into a minimal DFA $\langle S', \Sigma, \delta'_D, s'_0, \mathcal{F}'_D \rangle$

// Π is a partition of the set of states S Π ← {*F*_D, *S* − *F*_D} // Initially there are only two groups of states: final states and non-final states **repeat** Π_{new} ← Π // create a working copy Π_{new} **for all** groups *G* in Π **do** partition *G* in subgroups *G*₁, . . . , *G*_n (*n* ≥ 1) such that two states *s* and *t* are in the same subgroup *G*_i iff ∀*c* ∈ Σ ((*s* →) ∧ (*t* →)) ∨ ((*s* → *s'*) ∧ (*t* → *t'*) ∧ (*s'*, *t'* ∈ *G*) for some group *G* in Π) // subgroups *G*_i is may be composed of only one state Π_{new} ← Π_{new} − *G* ∪ {*G*₁, . . . , *G*_n // Replace *G* with the obtained subgroups in Π_{new} // the partition is refined: the group *G* is possibly replaced with a finer partition *G*₁, . . . , *G*_n **end for until** Π_{new} = Π // exit when the partition cannot be refined further // Now Π contains a set of groups that are a partition of the states *S* // The algorithm continues with the construction of the minimal DFA

DFA to Minimal DFA – Partition Refinement Algorithm

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// Continues from the previous slide . . .
// the states of the minimal DFA are representatives of groups of equivalent states, those that are in \Pi
\mathcal{S}' \leftarrow \varnothing and \mathcal{F}'_{\mathcal{D}} \leftarrow \varnothing
for all groups G in II do
    choose a state in G as the representative for G and add it to S'
    if G \cap \mathcal{F}_D \neq \emptyset \parallel G contains either all final states or all non-final states then
         add the representative state for G also to \mathcal{F}'_{D}
    end if
end for
s'_0 \leftarrow the representative state of the group G containing s_0
for all states s \in S' do
    for all charachters c \in \Sigma do
         if \delta_D[s, c] is defined then
             \delta'_D[s, c] \leftarrow the representative state of the group G containing the state \delta_D[s, c]
         end if
    end for
end for
```

Uniqueness of the minimal DFA

There exists a unique DFA, up to isomorphism, that recognises a regular language \mathscr{L} and has minimal number of states. Two DFA are isomorphic iff they are equal by neglecting the labels of the states.

(Formal Languages and Compilers)

Exercises

RegExp 2 DFA

- Minimise the DFA for the regexp (a|b)* abb
- Consider the regexp $a(b|c)^*$ and derive the minimal accepting DFA
- Define an automated strategy to decide if two regular expressions define the same language combining the algorithms defined so far

Regular Languages properties

- Specify a DFA accepting all strings of a's and b's that do not contain the substring aab
- Show that the complement of a regular language, on alphabet Σ, is still a regular language
- Show that the intersection of two regular languages, on alphabet Σ, is still a regular language

Summary

Lexical Analysis

Relevant concepts we have encountered:

- Tokens, Patterns, Lexemes
- Chomsky hierarchy and regular languages
- Regular expressions
- Problems and solutions in matching strings
- DFA and NFA
- Transformations
 - $\bullet \ \text{RegExp} \to \text{NFA}$
 - $\bullet \ \mathsf{NFA} \to \mathsf{DFA}$
 - DFA \rightarrow Minimal DFA

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