# Regular definitions

For notational convenience we give names to certain regular expressions. A regular definition, on the alphabet  $\Sigma$  is sequence of definitions of the form:

• 
$$d_1 \rightarrow r_1$$

•  $d_2 \rightarrow r_2$ 

• 
$$d_n \rightarrow r_n$$

where:

- Each d<sub>i</sub> is a new symbol, not in Σ, and not the same as any other of the d's
- Each  $r_i$  is a regular expression over the alphabet  $\Sigma \cup \{d_1, d_2, \dots, d_{i-1}\}$

# Using regular definitions

The tokens of a language can be defined as:

- letter  $\rightarrow a|b|...|z|A|B|...|Z$
- letter\_  $\rightarrow$  letter|\_
  - compact syntax: [*a zA B*]
- digit  $\rightarrow 0|1|...|9$ 
  - compact syntax: [0 9]
- integers  $\rightarrow (-|\epsilon)$  digit  $\cdot$  digit\*
- identifiers  $\rightarrow$  letter\_(letter\_|digit)\*
- *expnot*  $\rightarrow$  *digit*(.*digit*<sup>+</sup>*E*(+|-)*digit*<sup>+</sup>)? (Exponential Notation)

### Exercise

Write regular definitions for the following languages:

- All strings of lowercase letters that contains the five vowels in order
- All strings of lowercase letters in which the letters are in ascending lexicographic order
- All strings of digits with no repeated digits
- All strings with an even number of a's and and an odd number of b's

B + 4 B +

< 6 b

# How does the lexical analyser work?

Suppose we are given a regular definition  $R = \{d_1, \ldots, d_m\}$ 

- Let the input be  $x_0 \cdots x_n \in \Sigma^*$ For  $0 \le i \le n$  check if  $x_0 \cdots x_i \in \mathcal{L}(d_j)$  for some  $j \in \{1, \ldots, m\}$
- **2** if success then we know that  $x_0 \cdots x_i \in \mathscr{L}(d_j)$  for some *j*
- **o** remove  $x_0 \cdots x_i$  from input and go to 1

3

Suppose that at the same time for  $i < j, i, j \in \{0, ..., n\}$ :

•  $x_0 \cdots x_i \in \mathscr{L}(d_k)$  for some k

•  $x_0 \cdots x_i \cdots x_j \in \mathcal{L}(d_k)$  or  $x_0 \cdots x_i \cdots x_j \in \mathcal{L}(d_h)$  for some  $h \neq k$ Which is the match to consider?

## longest match rule

Suppose that at the same time for  $i \in \{0, ..., n\}$  and  $k \neq h$ ,  $k, h \in \{1, ..., m\}$ :

- $x_0 \cdots x_i \in \mathscr{L}(d_k)$
- $x_0 \cdots x_i \in \mathscr{L}(d_h)$

Which is the match to consider?

first one listed rule, i.e., dk

Errors: to manage errors put as last match in the list a regexp for all lexemes not in the language

(Formal Languages and Compilers)

2. Lexical Analysis

#### Regular Expressions

# LA matching rules

Suppose that at the same time for  $i < j, i, j \in \{0, ..., n\}$ :

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2. Lexical Analysis

#### Finite State Automata

# Finite Automata

- Regular Expressions = specification of tokens
- Finite Automata = recognition of tokens

# **Finite Automaton**

A Finite Automaton  $\mathcal{A}$  is a tuple  $\langle \mathcal{S}, \Sigma, \delta, s_0, \mathcal{F} \rangle$  where:

- S represents the set of states
- Σ represents a set of symbols (alphabet)
- $\delta$  represents the transition function ( $\delta : S \times \Sigma \to \ldots$ )
- $s_0$  represents the start state ( $s_0 \in S$ )
- $\mathcal{F}$  represents the set of accepting states ( $\mathcal{F} \subseteq \mathcal{S}$ )

# In two flavours: Deterministic Finite Automata (DFA) and Non-Deterministic Finite Automata (NFA)

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(Formal Languages and Compilers)

2. Lexical Analysis

# Finite Automata

# DFA vs. NFA

Depending on the definition of  $\delta$  we distinguish between:

- ► Deterministic Finite Automata (DFA)  $\delta : S \times \Sigma \rightarrow S$
- ► Nondeterministic Finite Automata (NFA)  $\delta : S \times \Sigma \rightarrow \mathscr{P}(S)$

The transition relation  $\delta$  can be represented in a table (transition table)

 $\mathscr{P}(\mathcal{S}) = 2^{\mathcal{S}}$  is the powerset of the set  $\mathcal{S}$  of states, i.e., the set of all the subsets of  $\mathcal{S}$ 

Overview of the graphical notation circle and edges (arrows)

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# Acceptance of Strings for DFAs

### Moves of a DFA

A DFA "consumes" an input character *c* going from a state *s* to a state *s'* if  $\delta(s,c) = s'$ , written  $s \xrightarrow{c} s'$ A DFA "consumes" a string  $\mathbf{a} = a_1 a_2 \cdots a_n$  going from a state  $s_i$  to a state  $s_j$  if there is a sequence of states  $s_{i+1}, \ldots, s_{i+n-1}, s_{i+n} = s_j$  s.t.  $\forall k \in \{1, \ldots, n\} . \delta(s_{i+k-1}, a_k) = s_{i+k}$ , written  $s_i \xrightarrow{\mathbf{a}} s_j$ 

### Acceptance of Strings

A DFA accepts a string **a** if and only if it consumes **a** from the initial state  $s_0$  to a final state  $s_i$ , i.e.,  $s_0 \xrightarrow{a} s_i$  and  $s_i \in \mathcal{F}$ 

### **Accepted Language**

The language accepted by a DFA is the set of all the strings **a** such that  $s_0 \stackrel{u}{\longrightarrow} s_i$  and  $s_i \in \mathcal{F}$ 

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2. Lexical Analysis

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2. Lexical Analysis

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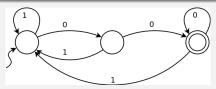
2. Lexical Analysis

### Exercise

Define the following automata:

- DFA for a single 1
- DFA for accepting any number of 1's followed by a single 0
- DFA for any sequence of a or b (possibly empty) followed by 'abb'

# Exercise



Which regular expression corresponds to the automaton?

- (0|1)\*
  (1\*|0)(1|0)
  1\*|(01)\*|(001)\*|(000\*1)\*
- ④ (0|1)\*00

#### Finite State Automata

### $\epsilon$ -moves

# DFA, NFA and $\epsilon$ -moves

- DFA
  - at most one transition for one input in a given state
  - no  $\epsilon$ -moves
- NFA
  - can have multiple transitions for one input in a given state
  - can have  $\epsilon$ -moves, i.e.,  $\delta : S \times (\Sigma \cup \{\epsilon\}) \to \mathscr{P}(S)$
  - smaller (exponentially)

# Acceptance of Strings for NFAs

### Moves of an NFA

An NFA "consumes" an input character *c* going from a state *s* to a state *s'* if  $s' \in \delta(s, c)$ , written  $s \xrightarrow{c} s'$ An NFA can move from a state *s* to a state *s'* without consuming any input character, written  $s \xrightarrow{\epsilon} s'$ An NFA "consumes" a string  $\mathbf{a} = a_1 a_2 \cdots a_n$  going from a state  $s_i$  to a state  $s_j$  if there is a sequence of moves  $s_i \xrightarrow{x_0} s_{i+1} \xrightarrow{x_1} \dots s_{i+m-1} \xrightarrow{x_{m-1}} s_{i+m} = s_j$  s.t.  $\forall k \in \{0, \dots, m-1\}.s_{i+k} \in \delta(s_{i+k}, x_k)$  and  $x_0 x_1 \cdots x_{m-1} = \mathbf{a}$ , written  $s_i \xrightarrow{\mathbf{a}} s_j$ 

### Acceptance of Strings

An NFA accepts a string **a** if and only if there exists at least one sequence of moves from the initial state  $s_0$  to a state  $s_i$  such that  $s_i$  is a final state, i.e.,  $\exists s_i \in \mathcal{F} \colon s_0 \stackrel{a}{\Longrightarrow} s_i$ 

### Accepted Language

The language accepted by an NFA is the set of all the strings **a** such that  $\exists s_i \in \mathcal{F} : s_0 \stackrel{a}{\Longrightarrow} s_i$ 

(Formal Languages and Compilers)

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(Formal Languages and Compilers)

2. Lexical Analysis

# From regexp to NFA

# Equivalent NFA for a regexp

The Thompson's algorithm permits to automatically derive an NFA from the specification of a regexp. It defines basic NFAs for basic regexps and rules to compose them:

- 1 for  $\epsilon$
- Ifor 'c'
- Ifor ab
- Ifor a + b
- for a\*

Now consider the regexp for  $(1|0)^*1$ 

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