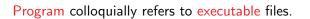


## Process Management

### Prof. Michele Loreti

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## Programs, Processes, and Threads



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Program colloquially refers to executable files.

A process is a running program.



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A process is a running program.

A thread is the unit of activity of a process.





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#### **Process ID Allocation**

By default, Linux kernel imposes a maximum process ID value of 32768

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### **Process ID Allocation**

By default, Linux kernel imposes a maximum process ID value of 32768

- ... *pids* are allocated sequentially;
- ... a new *pid* is allocated until the max id has been allocated.



The process that spawns a new process is known as the parent; the new process is known as the child.



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This relationship is recorded in each process's parent process ID (*ppid*), which is the pid of the child's parent.

Parent and Process ID...



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The process ID is represented by the  $pid_{-}t$  type, which is defined in the header file  $<\!\!sys/types.h\!>.$ 

Function getpid can be used to retrieve the process id:

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#include <sys/types.h>
#include <unistd.h>
```

```
pid_t getpid (void);
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...while function getppid returns the Parent Process ID:
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```
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```

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```
int ret;
ret = execl ("/usr/bin/vi", "vi", NULL);
if (ret == -1)
    perror ("execl");
```





A new process running the same image as the current one can be created via the fork () system call:

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In the child, a successful invocation of fork() returns 0. In the parent, fork() returns the pid of the child.





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### Copies are performed only on write!

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The standard function to terminate a process is:

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\#include < stdlib.h >
```

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void exit (int status);
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```
The parent receives: status & 0377
```



Before terminating the process, the C library performs the following shutdown steps, in order:

- 1. Call any functions registered with atexit () or on\_exit (), in the reverse order of their registration;
- 2. Flush all open standard I/O streams;
- 3. Remove any temporary files created with the tmpfile() function.



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These steps finish all the work the process needs to do in user space, so exit () invokes the system call  $\_exit$  () to let the kernel handle the rest of the termination process.



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A successful invocation of atexit () registers the given function to run during normal process termination, that is, when a process is terminated via either exit () or a return from main().

# Handling termination



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This function works the same as atexit (), but the registered function's prototype is different:



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int on_exit (void (*function)(int, void *), void *arg);
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This function works the same as atexit (), but the registered function's prototype is different:

```
void my_function (int status, void *arg);
```



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In this state only minimal info about the process are maintained, and it waits for its parent to inquire about its state.

Only after the parent obtains the information preserved about the terminated child does the process formally exit and cease to exist even as a zombie.



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```
The simplest is wait():
#include <sys/types.h>
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```

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pid_t wait (int *status);
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The simplest is wait():
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```
#include <sys/types.h>
#include <sys/wait.h>
pid_t wait (int *status);
```

A call to wait() returns the pid of a terminated child or -1 on error. If no child has terminated, the call blocks until a child terminates. If a child has already terminated, the call returns immediately.

## Waiting Child Termination...

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On error, there are two possible errno values:

- ECHILD: The calling process does not have any children.
- EINTR: A signal was received while waiting, and the call returned early.

## Waiting Child Termination...

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On error, there are two possible errno values:

- ECHILD: The calling process does not have any children.
- EINTR: A signal was received while waiting, and the call returned early.

The status pointer contains additional information about the child. A family of macros is provided for interpreting the parameter:

```
#include <sys/wait.h>
int WIFEXITED (status); //Process terminated normally.
int WIFSIGNALED (status); //Signal caused termination.
int WIFSTOPPED (status); //Process stopped.
int WIFCONTINUED (status); //Process continued.
int WEXITSTATUS (status); //Low 8-bits of exit value.
int WTERMSIG (status); //Signal caused termination.
int WSTOPSIG (status);
int WCOREDUMP (status);
```

# Waiting Child Termination...

```
int main (void) {
   int status; pid_t pid;
   if (!fork ()) return 1;
   pid = wait (\&status);
   if (pid = -1) perror ("wait");
   printf ("pid=%dn", pid);
   if (WIFEXITED (status))
      printf ("Normal termination with exit status=%d\n",
              WEXITSTATUS (status));
   if (WIFSIGNALED (status))
      printf ("Killed by signal=%d%s\n",
              WTERMSIG (status),
              WCOREDUMP (status) ? " (dumped core)" : "");
   if (WIFSTOPPED (status))
      printf ("Stopped by signal=%d \mid n",
              WSTOPSIG (status));
   if (WIFCONTINUED (status)) printf ("Continued \n");
   return 0;
}
```

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If you know the pid of the process you want to wait for, you can use the waitpid() system call:

```
#include <sys/types.h>
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pid_t waitpid (pid_t pid, int *status, int options);
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```

```
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```

The pid parameter specifies exactly which process or processes to wait for:

- pid<-1: Wait for any child process whose process group ID is equal to the absolute value of this value.
- pid=-1: Wait for any child process (same behaviour as wait())
- pid=0: Wait for any child process that belongs to the same process group as the calling process.
- pid>0: Wait for any child process whose pid is exactly the value provided.

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```

```
pid_t waitpid (pid_t pid, int *status, int options);
```

The options parameter is a binary OR of zero or more of the following options:

- WNOHANG: Do not block, but return immediately if no matching child process has already terminated (or stopped or continued).
- WUNTRACED: the WIFSTOPPED bit in the returned status parameter is set, even if the calling process is not tracing the child process.
- WCONTINUED: the WIFCONTINUED bit in the returned status parameter is set even if the calling process is not tracing the child process.

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#### **Example:**

```
int status; pid_t pid;
pid = waitpid (1742, \&status, WNOHANG);
if (pid == -1)
  perror ("waitpid");
else {
  printf ("pid=%d \mid n", pid):
  if (WIFEXITED (status))
    printf ("Normal termination with exit status=%d\n",
        WEXITSTATUS (status));
  if (WIFSIGNALED (status))
    printf ("Killed by signal=%d\%s \ n",
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```



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idtype may be one of the following values:

- P\_PID: Wait for a child whose pid matches id.
- P\_GID: Wait for a child whose process group ID matches id.
- P\_ALL: Wait for any child; id is ignored.



The options parameter is a binary OR of one or more of the following values:

- WEXITED: The call will wait for children that have terminated.
- WSTOPPED: The call will wait for children that have stopped execution in response to receipt of a signal.
- WCONTINUED: The call will wait for children that have continued execution in response to receipt of a signal.
- WNOHANG: The call will never block, but will return immediately if no matching child process has already terminated (or stopped, or continued).
- WNOWAIT: The call will not remove the matching process from the zombie state. The process may be waited upon in the future.



Upon successfully waiting for a child, waitid() fills in the infop parameter, which must point to a valid siginfo\_t type.



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The exact layout of the siginfo\_t structure is implementation-specific, but a handful of fields are valid after a call to waitid ().

A successful invocation will ensure that the following fields are filled in:

- si\_pid : The child's pid.
- si₋uid : The child's uid.
- si\_code: Set to one of CLD\_EXITED, CLD\_KILLED, CLD\_STOPPED, or CLD\_CONTINUED in response to the child terminating.
- si\_signo : Set to SIGCHLD.
- si\_status : If si\_code is CLD\_EXITED, this field is the exit code of the child process. Otherwise, this field is the number of the signal delivered to the child that caused the state change.

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#### Launching and waiting new processes



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If a process is spawning a child only to immediately wait for its termination, it makes sense to use this interface:

```
#define _XOPEN_SOURCE /* if we want WEXITSTATUS, etc. */
#include <stdlib.h>
```

int system (const char \*command);

The system() function is so named because the synchronous process invocation is called shelling out to the system.

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It is common to use system() to run a simple utility or shell script, often with the explicit goal of simply obtaining its return value.



#### To be continued...

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# Progetto Appelli Giugno/Luglio

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**Laboratorio di Sistemi Operativi** Corso di Laurea in Informatica (L31) Scuola di Scienze e Tecnologie

# Progetto Sessioni Giugno/Luglio...



**Obiettivo:** Sviluppare una applicazione di sistema Unix/Linux chiamata swordx che sia in grado di leggere un insieme di file (di testo) da una o più sorgenti e che produca in output un tile di testo contenente la lista delle parole che occorrono nei file letti con la relativa occorrenza.

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#### **Regole:**

- 1. Il progetto dovr essere consegnato in un archivio .tgz contenente, oltre al codice, una relazione descrittiva del lavoro svolto;
- 2. Il progetto può essere svolto in gruppo (di al più tre persone);
- 3. La valutazione del progetto terrà conto di:
  - Corretto funzionamento;
  - Organizzazione del codice;
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**Date di consegna:** 18/06/2018, 02/07/2018, 16/07/2018.



#### To be continued...

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Progetto Appelli Giugno/Luglio

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#### Threads

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Corso di Laurea in Informatica (L31) Scuola di Scienze e Tecnologie







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While we will focus on basics of the Linux threading API.





Processes are the operating system abstraction representing those binaries in action: the loaded binary, virtualised memory, kernel resources such as open files, an associated user, and so on.



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Threads are the unit of execution within a process: a virtualised processor, a stack, and program state.

Processes are running binaries and threads are the smallest unit of execution schedulable by an operating system's process scheduler.





A process contains one or more threads.

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If a process contains but one thread, there is only a single unit of execution in the process and only one thing going on at a time. We call such processes single threaded.



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If a process contains but one thread, there is only a single unit of execution in the process and only one thing going on at a time. We call such processes single threaded.

If a process contains more than one thread, then there is more than one thing going on at once. We call such processes multithreaded.





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- virtual memory
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# Each running process has the illusion that it alone consumes the machine's resources!

Virtualised memory is associated with the process and not the thread. Thus, each process has a unique view of memory that is shared by all threads in that process.







1. Programming abstraction



- 1. Programming abstraction
- 2. Parallelism



- 1. Programming abstraction
- 2. Parallelism
- 3. Improving responsiveness



- 1. Programming abstraction
- 2. Parallelism
- 3. Improving responsiveness
- 4. Blocking I/O



- 1. Programming abstraction
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- 5. Context switching



- 1. Programming abstraction
- 2. Parallelism
- 3. Improving responsiveness
- 4. Blocking I/O
- 5. Context switching
- 6. Memory savings

# Threading Models





Kernel-level threading: This is the simplest model, where kernel provides native support for threads, and each of those kernel threads translates directly to the user-space concept of a thread (1-process, 1-thread).



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**User-level threading:** in this model user space is the key to the system's threading support, as it implements the concept of a thread. A process with N threads will map to a single kernel process (1-process, N-threads).



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**Hybrid Threading:** A mix of Kernel-level and User-level (*M*-processes, *N*-threads).



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Concurrency is the ability of two or more threads to execute in overlapping time periods.



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Concurrency is the ability of two or more threads to execute in overlapping time periods.

Parallelism is the ability to execute two or more threads simultaneously.



Consider the following *C* function:

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int withdraw (struct account *account, int amount) {
  const int balance = account->balance;
  if (balance < amount)
    return -1;
  account \rightarrow balance = balance - amount:
  disburse_money (amount);
  return 0;
```



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# What can happen if two processes execute the code above at the concurrently?

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Consider the following C instruction:

x++; //x is an integer.



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Question: can the statement above be executed concurrently?



Consider the following *C* instruction:

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Question: can the statement above be executed concurrently?

*C* compiler transforms the code above in:

load x into register
add 1 to register
store register in x



## Case 1:



## Case 1:

#### **Result:** x=2



## Case 2:

- (Th2) load x into register (Th2) add 1 to register (Th2) store register in x
- (Th1) load x into register
- (Th1) add 1 to register
- (Th1) store register in x



## Case 2:

- (Th2) load x into register (Th2) add 1 to register (Th2) store register in x
- (Th1) load x into register
- (Th1) add 1 to register
- (Th1) store register in x

#### **Result:** x=2



## Case 3:



### Case 3:

#### Result: x=1



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To prevent race conditions, then, the programmer needs to synchronise access to that window, ensuring mutually exclusive access to the critical region.

An operation (or set of operations) is **atomic** if it is indivisible, unable to be interleaved with other operations.

To the rest of the system, an atomic operation (or operations) appears to occur instantaneously. And that's the problem with critical regions: they are not indivisible, they don't occur instantaneously, they aren't atomic



## To be continued...

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Threads

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